

Patents, Trademarks, Copyrights,  
Technology Law, Antitrust, Litigation

# Senniger, Powers, Leavitt & Roedel

Attorneys at Law

Edward J. Hejlek

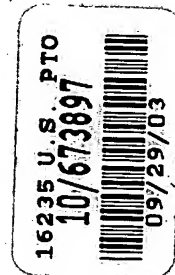
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September 29, 2003

## UTILITY PATENT APPLICATION TRANSMITTAL (new nonprovisional applications under 37 CFR 1.53(b))



Attorney Docket Number: FSUB 10479.2  
First Named Inventor: Robert A. Holton  
Title: PREPARATION OF SUBSTITUTED ISOSERINE ESTERS USING METAL  
ALKOXIDES AND  $\beta$ -LACTAMS

Express Mail Label Number: EV 272754567 US

TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

### APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 73]
4. ☐ Drawings [Total Sheets     ]
5. Oath or Declaration [Total Pages 3]
  - a. ☐ Newly executed (original or copy)  
☐ New (unexecuted)
  - b. ☒ Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i. ☐ DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.

6. ☒ Incorporation By Reference  
(useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO/SB/08A ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE  
BOXES AND SUPPLY THE REQUISITE INFORMATION**

19. ☒ Continuation ☐ Divisional ☐ Continuation-in-Part  
of prior application No.: Serial No. 10/289,103, filed November 6, 2002, which is a continuation based on U.S. Serial No. 09/517,791, filed March 2, 2000, now Patent 6,479,678, which is a continuation based on U.S. Serial No. 08/941,640, filed September 30, 1997, now Patent 6,069,260, which is a division of U.S. Serial No. 08/483,309, filed June 7, 1995, now Patent 5,723,634, which is a continuation of U.S. Serial No. 08/314,532, filed September 28, 1994, now Patent 5,466,834, which

is a continuation of U.S. Serial No. 07/949,107, filed September 22, 1992, now abandoned, which is a continuation-in-part of U.S. Serial No. 07/863,849, filed April 6, 1992, now abandoned, which is a continuation-in-part of U.S. Serial No. 07/862,955, filed April 3, 1992, now abandoned, which is a continuation-in-part of U.S. Serial No. 07/763,805, filed September 23, 1991, now abandoned.

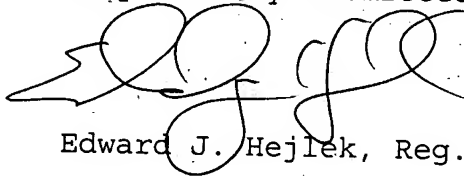
[ ] Complete Application based on provisional Application No. \_\_\_\_\_

Prior application information: Examiner: Ba K. Trinh  
Group Art Unit: 1625

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Edward J. Hejlek

Respectfully submitted,



Edward J. Hejlek, Reg. No. 31,525

EJH/JSH/dfw

**FEE TRANSMITTAL**

Application Number To Be Assigned  
Filing Date September 24, 2003  
Confirmation No. To Be Assigned  
Inventor(s) Robert A. Holton  
Group Art Unit 1625  
Examiner Name Ba K Trinh  
Attorney Docket Number FSUB 10479.2



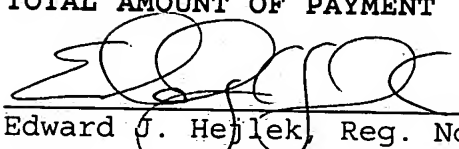
**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1. ☒ BASIC FILING FEE Subtotal (1) \$ 750.00  
(Type: Utility)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ \_\_\_\_\_  
Total Claims 9  
Independent Claims 1  
Multiple Dependent Claims 0
3. ☐ ADDITIONAL FEES Subtotal (3) \$ \_\_\_\_\_
  - ☐ Surcharge - late filing fee or oath
  - ☐ Surcharge - late provisional filing fee or cover sheet
  - ☐ Extension for reply within \_\_\_\_\_ month
  - ☐ Notice of Appeal
  - ☐ Filing a Brief in Support of an appeal
  - ☐ Request for ex parte Reexamination
  - ☐ Petitions to the Commissioner
  - ☐ Submission of Information Disclosure Statement
  - ☐ Recording each patent assignment per property
  - ☐ Request for Continued Examination
  - ☐ Other: \_\_\_\_\_

TOTAL AMOUNT OF PAYMENT \$ 750.00

  
Edward J. Hejlek, Reg. No. 31,525

9/29/03  
Date

EJH/JSH/dfw

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